

Attorney's Docket 061069-0260332  
Client Reference: SPO-2384



IFW  
2871  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re PATENT APPLICATION of:  
KIMIHICO NISHIOKA

Confirmation Number: 5810

Application No.: 09/327,713

Group Art Unit: 2871

Filed: June 8, 1999

Examiner: Parker, Kenneth

For: VARIABLE OPTICAL-PROPERTY ELEMENT AND OPTICAL APPARATUS INCLUDING  
THE SAME

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT/RESPONSE TRANSMITTAL**

Transmitted herewith is an amendment/response for this application.

**EXTENSION OF TIME**

The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.  
Applicant petitions for a 2 months extension of time under 37 C.F.R. 1.136.

**FEES**

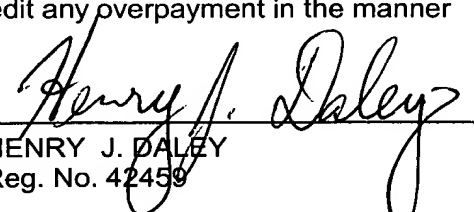
The fee for claims and extension of time (37 C.F.R. 1.16 and 1.17) has been calculated as shown below:

|   | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT | HIGHEST NO.<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA | RATE      | ADDIT.<br>FEE |
|---|---|---------------------------------------|------------------|-----------|---------------|
| TOTAL                                     | 88  | 90                                    | 0                | \$ 50.00  | \$ 0.00       |
| INDEP.                                    | 43  | 40                                    | 3                | \$ 200.00 | \$ 600.00     |
| FIRST PRESENTATION OF MULTIPLE DEP. CLAIM |   |                                       | +                | \$ 300.00 | \$ 0.00       |
| TOTAL ADDITIONAL CLAIM FEE                |   |                                       |                  |           | \$ 600.00     |
| EXTENSION OF TIME FEE                     |   |                                       |                  |           | \$ 450.00     |
| GRAND TOTAL                               |   |                                       |                  |           | \$ 1050.00    |

**FEE PAYMENT**

Authorization is hereby made to charge the amount of \$1050.00 to Deposit Account No. 033975.  
Charge any additional fees required by this paper or credit any overpayment in the manner  
authorized above. A duplicate of this paper is attached.

Date: January 10, 2005  
PILLSBURY WINTHROP LLP  
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HENRY J. DALEY  
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01/11/2005 EABUBAK1 00000139 033975 09327713

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PTO/SB/17 (10-04 v2)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 1050.00

## Complete If Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | 09/327,713        |
| Filing Date          | June 8, 1999      |
| First Named Inventor | KIMIHIKO NISHIOKA |
| Examiner Name        | Parker, Kenneth   |
| Art Unit             | 2871              |
| Attorney Docket No.  | 061069-0260332    |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 033975  
Deposit Account Name: PILLSBURY WINTHROP LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid   |
|--------------|----------|--------------|----------|------------------------|------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |            |
| 1001         | 790      | 2001         | 395      | Utility filing fee     |            |
| 1002         | 350      | 2002         | 175      | Design filing fee      |            |
| 1003         | 550      | 2003         | 275      | Plant filing fee       |            |
| 1004         | 790      | 2004         | 395      | Reissue filing fee     |            |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |            |
| SUBTOTAL (1) |          |              |          |                        | (\$ ) 0.00 |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |    | Extra Claims |    | Fee from below |     | Fee Paid |
|--------------------|----|--------------|----|----------------|-----|----------|
| Total Claims       | 88 | -20** =      | -9 | x              |     | = 0      |
| Independent Claims | 43 | x33x =       | 3  | x              | 200 | = 600    |
| Multiple Dependent |    | -40**        |    |                |     |          |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |
| 1201         | 88       | 2201         | 44       | Independent claims in excess of 3                          |
| 1203         | 300      | 2203         | 150      | Multiple dependent claim, if not paid                      |
| 1204         | 88       | 2204         | 44       | ** Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ ) 600.00

\*\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1051     | 130      | 2051     | 65       | Surcharge - late filing fee or oath  |          |
| 1052     | 50       | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053     | 130      | 1053     | 130      | Non-English specification  |          |
| 1812     | 2,520    | 1812     | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804     | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805     | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251     | 110      | 2251     | 55       | Extension for reply within first month                                     | 450.00   |
| 1252     | 430      | 2252     | 215      | Extension for reply within second month                                    |          |
| 1253     | 980      | 2253     | 490      | Extension for reply within third month                                     |          |
| 1254     | 1,530    | 2254     | 765      | Extension for reply within fourth month                                    |          |
| 1255     | 2,080    | 2255     | 1,040    | Extension for reply within fifth month                                     |          |
| 1401     | 340      | 2401     | 170      | Notice of Appeal   |          |
| 1402     | 340      | 2402     | 170      | Filing brief in support of an appeal                                       |          |
| 1403     | 300      | 2403     | 150      | Request for oral hearing   |          |
| 1451     | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452     | 110      | 2452     | 55       | Petition to revive - unavoidable   |          |
| 1453     | 1,370    | 2453     | 685      | Petition to revive - unintentional   |          |
| 1501     | 1,370    | 2501     | 685      | Utility issue fee (or reissue)   |          |
| 1502     | 490      | 2502     | 245      | Design issue fee   |          |
| 1503     | 660      | 2503     | 330      | Plant issue fee  |          |
| 1460     | 130      | 1460     | 130      | Petitions to the Commissioner  |          |
| 1807     | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806     | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021     | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809     | 790      | 2809     | 395      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810     | 790      | 2810     | 395      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801     | 790      | 2801     | 395      | Request for Continued Examination (RCE)                                    |          |
| 1802     | 900      | 1802     | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 450.00

## SUBMITTED BY

|                   |                       |                                   |                  |           |               |
|-------------------|-----------------------|-----------------------------------|------------------|-----------|---------------|
| Name (Print/Type) | Henry J. Daley        | Registration No. (Attorney/Agent) | 42459            | Telephone | 202. 775.9832 |
| Signature         | <i>Henry J. Daley</i> | Date                              | January 10, 2005 |           |               |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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